

01/03/02

JC971 U.S. PTO

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. HRT-58192

First Inventor Jain

Title ABLATION CATHETER WITH TRANSDUCER FOR PROVIDING ONE OR MORE...

Express Mail Label No. EL 7377000-40 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 34]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 15]
5. Oath or Declaration [Total Pages 3]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)

of prior application No.: 09,797,435

Prior application information:

Examiner

Group Art Unit:

3739

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

Name

Address

PATENT TRADEMARK OFFICE

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

David S. Sarisky

Registration No. (Attorney/Agent)

41,288

Signature

Date

Jan. 3, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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PTO/SB/17 (10-01)
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)
1,548.00

Compleat if Known

| | |
|----------------------|-----------------|
| Application Number | |
| Filing Date | January 3, 2002 |
| First Named Inventor | Jain |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | HRT-58192 |

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 06-2425

Deposit Account Name: FULWIDER PATTON

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

| 1. BASIC FILING FEE | |
|---------------------|---------------|
| Large Entity | Small Entity |
| Fee Code (\$) | Fee Code (\$) |
| 101 740 | 201 370 |
| 106 330 | 206 165 |
| 107 510 | 207 255 |
| 108 740 | 208 370 |
| 114 160 | 214 80 |
| SUBTOTAL (1) (\$) | |
| 740 | |

2. EXTRA CLAIM FEES

Total Claims: 44

Independent Claims: 7

Multiple Dependent Claims: 0

Extra Claims: 24

Fee from below: 18

Fee Paid: 432

3** = 4

84 = 336

| Large Entity | Small Entity | Fee Description |
|-------------------|---------------|--|
| Fee Code (\$) | Fee Code (\$) | |
| 103 18 | 203 9 | Claims in excess of 20 |
| 102 84 | 202 42 | Independent claims in excess of 3 |
| 104 280 | 204 140 | Multiple dependent claim, if not paid |
| 109 84 | 209 42 | ** Reissue independent claims over original patent |
| 110 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) (\$) | | |
| 768 | | |

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

| 3. ADDITIONAL FEES | |
|--------------------|---------------|
| Large Entity | Small Entity |
| Fee Code (\$) | Fee Code (\$) |
| 105 130 | 205 65 |
| 127 50 | 227 25 |
| 139 130 | 139 130 |
| 147 2,520 | 147 2,520 |
| 112 920* | 112 920* |
| 113 1,840* | 113 1,840* |
| 115 110 | 215 55 |
| 116 400 | 216 200 |
| 117 920 | 217 460 |
| 118 1,440 | 218 720 |
| 128 1,960 | 228 980 |
| 119 320 | 219 160 |
| 120 320 | 220 160 |
| 121 280 | 221 140 |
| 138 1,510 | 138 1,510 |
| 140 110 | 240 55 |
| 141 1,280 | 241 640 |
| 142 1,280 | 242 640 |
| 143 460 | 243 230 |
| 144 620 | 244 310 |
| 122 130 | 122 130 |
| 123 50 | 123 50 |
| 126 180 | 126 180 |
| 581 40 | 581 40 |
| 146 740 | 246 370 |
| 149 740 | 249 370 |
| 179 740 | 279 370 |
| 169 900 | 169 900 |
| SUBTOTAL (3) (\$) | |
| 40 | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

| | | | |
|-------------------|-------------------------|-----------------------------------|--------------|
| SUBMITTED BY | | Complete (if applicable) | |
| Name (Print/Type) | David S. Sanisky | Registration No. (Attorney/Agent) | 41,288 |
| Signature | <i>David S. Sanisky</i> | Telephone | 310-824-5555 |
| | | Date | Jan. 3, 2002 |

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